POINT OF VIEW - SEPTEMBER 2026

Thailand: From Regulatory Obligation to Board-Ready Evidence.

Risk, cyber and privacy workflows for Thai regulated organisations

Thailand's framework combines Bank of Thailand prudential supervision, SEC corporate governance, national cybersecurity duties and the Personal Data Protection Act. Enterprises need coordinated ownership for risks, controls, assurance, incidents and regulatory communications.

REGION	REGULATORY HUB	
APAC	THA	 Thailand

Country in Brief

4	**5**	**4**	**3**
Regulatory authorities profiled	Official regulatory sources mapped	Supervisory priorities operationalised	Connected TransVare platforms

01 Thailand Regulatory Landscape

Thailand's framework combines Bank of Thailand prudential supervision, SEC corporate governance, national cybersecurity duties and the Personal Data Protection Act. Enterprises need coordinated ownership for risks, controls, assurance, incidents and regulatory communications.

BOT

Bank of Thailand

Industries Regulated

Banking and lending, Regulated financial services

SEC

Securities and Exchange Commission Thailand

Industries Regulated

Capital markets and listed companies, Cross-sector data controllers and processors

NCSA

National Cyber Security Agency

Industries Regulated

Capital markets and listed companies, Government and critical infrastructure, Public and private organisations

PDPC

Personal Data Protection Committee

Industries Regulated

Capital markets and listed companies, Cross-sector data controllers and processors

02 Supervisory Priorities

The applicable perimeter depends on entity type, licence and sector. These priorities provide a practical starting point for programme design and evidence management.

01	Prudential risk governance
02	Corporate governance
03	Cyber resilience
04	Personal-data breach response

03 From Obligation to Board-Ready Evidence

Use one traceable workflow to translate requirements, manage execution and demonstrate oversight.

01

Catalogue Obligations

Structure local requirements by entity, licence, authority and accountable owner.

02

Connect Operational Evidence

Link obligations to risks, controls, incidents, tests, findings and remediation.

03

Monitor and Assure

Track KRIs, control status, audit coverage, incidents and action closure continuously.

04

Report to Leadership

Turn current operational evidence into traceable executive and board oversight.

04 Thailand Regulation and Product Map

Each official requirement below is translated into an operational GRC focus. Only platforms with a defensible workflow or evidence role are shown as a primary or supporting fit.

Financial Institution Supervision Framework
BOT

Applies To	Banks and financial institutions supervised by BOT
Operational Focus	Board governance, risk appetite, internal controls, operational risk, technology resilience and supervisory remediation.
ERMVare® **Primary Fit**	Operational-risk registers, critical-service assessments, controls, KRIs, treatments and leadership reporting.
AuditVare® **Supporting Fit**	Risk-based assurance, control testing, evidence, findings, approvals and remediation follow-up.
Scope Boundary	Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.
Official Source	**Open Official Source**

Corporate Governance Code
SEC

Applies To	Thai listed companies and boards applying the code
Operational Focus	Board duties, risk governance, internal controls, audit oversight, disclosure and long-term accountability.
ERMVare® **Primary Fit**	Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting.
AuditVare® **Supporting Fit**	Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up.
Scope Boundary	Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.
Official Source	**Open Official Source**

Cybersecurity Act B.E. 2562
NCSA

Applies To	Critical information infrastructure organisations and other entities within scope
Operational Focus	Risk management, security measures, incident response, regulatory coordination, continuity and assessment.
InciVare® **Primary Fit**	Cyber-event intake, escalation, investigation, root cause, corrective action, recovery tracking and audit trail.
ERMVare® **Primary Fit**	Operational-risk registers, critical-service assessments, controls, KRIs, treatments and leadership reporting.
Scope Boundary	GRC workflow and evidence support only. The platforms do not replace technical security monitoring, detection or protection tools.
Official Source	**Open Official Source**

Personal Data Protection Act B.E. 2562
PDPC

Applies To	Data controllers and processors within scope
Operational Focus	Privacy governance, processing records, security controls, processor oversight, breach notification and remediation.
InciVare® **Primary Fit**	Incident intake, assessment, investigation, notification workflow, corrective action, escalation and closure evidence.
ERMVare® **Supporting Fit**	Privacy risks, controls, assessments, treatment actions, ownership, approvals and management reporting.
Scope Boundary	Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.
Official Source	**Open Official Source**

Regulatory Coordination on Personal Data Protection

SEC and PDPC

Applies To	Capital-market operators handling personal data
Operational Focus	Coordinated privacy governance, sector supervision, issue escalation and practical alignment with the PDPA.
InciVare® **Primary Fit**	Incident intake, assessment, investigation, notification workflow, corrective action, escalation and closure evidence.
ERMVare® **Supporting Fit**	Privacy risks, controls, assessments, treatment actions, ownership, approvals and management reporting.
Scope Boundary	Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.
Official Source	**Open Official Source**

Research Review	Primary Fit	Supporting Fit	Not Shown
Official-source and product-fit review completed 1 September 2026.	Directly manages a central process or evidence set.	Contributes linked evidence, oversight or follow-up.	Weak or unsubstantiated relationships are omitted.

05 Brochure-Validated Product Capability

The mapping uses capabilities documented in the current TransVare solution briefs. It does not extend the platforms beyond their stated functional scope.

ERMVare®

Risk and Control Intelligence

Enterprise risk ownership, risk and control registers, assessments, appetite and tolerances, KRIs, treatments, approvals, dashboards and board reporting.

Central risk and control register | KRI threshold alerts | Treatment action tracking | AI-assisted drafting and classification

AuditVare®

Independent Assurance

Audit universe, risk-based planning, engagement execution, RCM-linked control testing, evidence, findings, approvals and follow-up.

Risk-based audit planning | ToD and ToE procedures | Working papers and evidence | GIAS 2024 observation drafting

InciVare®

Incident Response and Closure

Incident intake, triage, investigation, root-cause analysis, escalation, notifications, corrective action, closure and lessons learned.

Central incident register | Investigation and root cause | Escalation and notifications | Corrective-action tracking

Scope Boundary

The platforms support governance workflows, accountability, evidence and reporting. They do not calculate regulatory capital or liquidity, replace technical cybersecurity monitoring, provide legal interpretation, issue external-audit opinions or automatically file statutory notifications unless an approved integration and process are configured.

06 Sources, Scope and Next Step

Official sources used in this report are linked directly from each regulation summary. The mapping is an implementation aid and not legal advice.

01	**Financial Institution Supervision Framework** BOT	**Official Source**
02	**Corporate Governance Code** SEC	**Official Source**
03	**Cybersecurity Act B.E. 2562** NCSA	**Official Source**
04	**Personal Data Protection Act B.E. 2562** PDPC	**Official Source**
05	**Regulatory Coordination on Personal Data Protection** SEC and PDPC	**Official Source**

THE NEXT STEP

Build a Connected GRC Operating Model for Thailand.

See how TransVare can support local regulatory readiness while preserving regional visibility and board oversight.

TransVare US: Delaware
8 The Green, Street R
Dover, Kent 19901, State of Delaware
United States of America

For Local Alliance Partner and Sales Contact:
Connect@TransVare.com
TransVare.com