

Federated GRC: Specialized at the edges, Unified at the core.

ComplianceVare® Solution Brief

The Intelligent Platform for Compliance Management

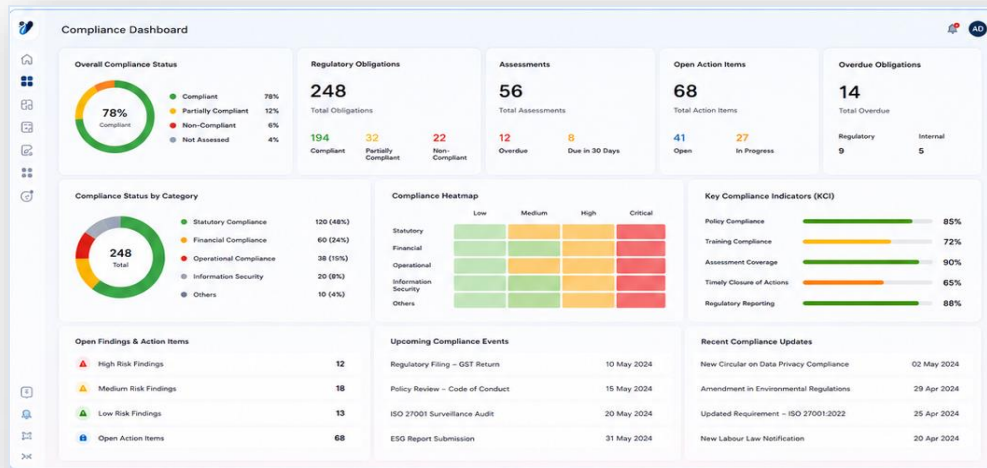
Strengthen compliance oversight, enhance accountability, and support strategic decision-making. 



Designed in alignment with globally recognized compliance frameworks and industry best practices.

Enabling organizations to achieve regulatory compliance through structured processes, effective controls, and informed decision-making.

Streamline Your Compliance Management Process.



Compliance Universe

Regulatory Obligations | Internal Obligations | Policies | Procedures

Search obligations | Obligation Type | Category | Applicable To | + Add Obligation

Obligation ID	Obligation Name	Obligation Type	Category	Applicable To	Owner	Status
REG-001	Goods and Services Tax Act, 2017	Regulatory	Tax Compliance	Organization	Tax Team	Compliant
REG-002	Companies Act, 2013	Regulatory	Corporate Compliance	Organization	Legal Team	Compliant
REG-003	Environment Protection Act, 1986	Regulatory	Environmental Compliance	Organization	EHS Team	Partially Compliant
INT-001	Code of Conduct Policy	Internal	Ethics & Conduct	Organization	HR Team	Compliant
INT-002	Information Security Policy	Internal	Information Security	Organization	IT Team	Compliant

Compliance Universe

Compliance Registers

Requirements Register | Obligation Tracking | Compliance Status

Regulation | Regulation | Category | Status | Export

Reg. ID	Regulation / Standard	Requirement	Owner	Frequency	Next Due Date	Status
REG-001	GST Act, 2017	Maintain proper books of accounts	Tax Team	Monthly	10 May 2024	Compliant
REG-002	Companies Act, 2013	Board Meeting to be held	Legal Team	Quarterly	15 May 2024	Compliant
REG-003	EP Act, 1986	Obtain Consent to Operate	EHS Team	Annual	30 May 2024	Partially Compliant
REG-004	ISO 27001:2022	Information Security Risk Assessment	IT Team	Annual	20 May 2024	Non-Compliant
REG-005	Labour Law	Maintain Employee Registers	HR Team	Monthly	05 May 2024	Compliant

Compliance Register

Assessments

Compliance Assessments | Risk Assessments | Periodic Reviews | Risk Mitigation

Search assessments | Assessment Type | Status | Due Date | + New Assessment

Assessment ID	Assessment Name	Assessment Type	Period	Owner	Due Date	Status
ASM-001	GST Compliance Assessment	Regulatory	Apr-Jun 2024	Tax Team	30 Jun 2024	In Progress
ASM-002	ISO 27001 Internal Audit	Standard	Mar 2024	IT Team	25 May 2024	Not Started
ASM-003	Environmental Compliance Review	Regulatory	Apr-Jun 2024	EHS Team	15 Jun 2024	In Progress
ASM-004	Labour Law Compliance Audit	Regulatory	May 2024	HR Team	30 May 2024	Completed
ASM-005	Quarterly Compliance Review	Internal	Q1 2024	Compliance Team	10 May 2024	Completed

Compliance Assessment

Observation

Findings | Recommendations | Root Cause Analysis | Remediation Tracking

Search findings | Risk Level | Status | Owner | + New Finding

Finding ID	Finding Title	Risk Level	Category	Owner	Status	Due Date
FND-001	Incomplete GST Reconciliation	High	Tax Compliance	Tax Team	Open	15 May 2024
FND-002	Missing Policy Acknowledgement	Medium	Policies	HR Team	In Progress	20 May 2024
FND-003	Expired Fire Safety Certificate	High	Operational	EHS Team	Open	18 May 2024
FND-004	Access Review Pending	Medium	Information Security	IT Team	In Progress	22 May 2024
FND-005	Non-submission of ROC Filings	High	Corporate Compliance	Legal Team	Open	16 May 2024

Observation Management

Regulatory Queries

Regulatory Queries | Inspections | Circulars & Notifications | Follow-ups

Search queries | Source | Status | Received Date | + New Query

Query ID	Source	Subject	Received Date	Owner	Due Date	Status
QRY-001	Income Tax Department	Notice u/s 143(2)	02 May 2024	Tax Team	15 May 2024	In Progress
QRY-002	Pollution Control Board	Consent to Operate Renewal	28 Apr 2024	EHS Team	12 May 2024	Open
QRY-003	Ministry of Labour	Return Filing Clarification	25 Apr 2024	HR Team	10 May 2024	In Progress
QRY-004	ROC	Clarification on Annual Return	20 Apr 2024	Legal Team	07 May 2024	Closed
QRY-005	GST Department	Input Tax Credit Verification	18 Apr 2024	Tax Team	05 May 2024	Open

Regulatory Management

Committee Meeting Management

Meetings | Agendas | Minutes | Action Items

Search meetings | Committee | Status | From Date | To Date | + Schedule Meeting

Meeting ID	Committee Name	Meeting Date	Chairperson	Status	Next Meeting Date
CM-001	Compliance Committee	10 May 2024	Mr. Rajesh Mehta	Completed	10 Aug 2024
CM-002	Risk Management Committee	25 Apr 2024	Ms. Nisha Sharma	Completed	25 Jul 2024
CM-003	Ethics Committee	18 Apr 2024	Mr. Amit Verma	Completed	18 Jul 2024
CM-004	Information Security Committee	05 Apr 2024	Mr. Vikram Singh	Completed	05 Jul 2024
CM-005	ESG Steering Committee	28 Mar 2024	Ms. Pooja Nair	Scheduled	28 Jun 2024

Committee Meeting Management

Strengthening Compliance for Better Strategic Outcomes

EXECUTIVE OVERVIEW

ComplianceVare helps organizations streamline compliance management activities, improve regulatory visibility, and strengthen compliance oversight through a centralized and structured compliance management platform.

With a single integrated solution, organizations can manage compliance obligations, regulatory requirements, policies, assessments, observations, action plans, and compliance reporting while enabling proactive monitoring, accountability, and informed decision-making across the enterprise.

STRONG VALUE PILLARS

- 1. Compliance Assessments & Monitoring**
Conduct periodic compliance assessments and continuous monitoring activities to evaluate adherence to regulatory requirements and internal policies..
- 2. Observation & Action Management**
Record compliance observations, assign corrective actions, monitor progress, and ensure timely closure of identified issues.
- 3. Regulatory Change Management**
Track regulatory updates, assess their impact, and ensure timely implementation of required changes across the organization.
- 4. Compliance Obligation Management**
Maintain a structured repository of regulatory, legal, and internal compliance requirements with clear ownership and accountability.

THE COMPLIANCEVARE ADVANTAGE



Enterprise-Wide Compliance Visibility

Centralized visibility into compliance obligations, assessments, findings, and compliance status across the organization.



Regulatory Change Management

Track regulatory updates, assess their impact, and ensure timely implementation of required changes across the organization.



Executive & Board Reporting

Generate real-time dashboards and reports that provide management with actionable insights into compliance performance and outstanding issues.



Compliance Meeting and Agenda Management

Facilitates scheduling compliance meetings, agenda management, minutes of meeting and action tracking to ensure compliance.

A Unified Platform for the Complete Compliance Lifecycle

PLATFORM MODULES



Home

01

Central hub for updates and quick access to other modules.



Compliance Universe

02

The Compliance Universe provides a centralized view of regulatory and internal obligations, along with repositories for policies and procedures, while supporting compliance activity monitoring.



Compliance Register

03

Centralized compliance repository that provides a real-time detailed Assessment-wise view of enterprise compliance obligations.



Observation Management

04

Observation Management facilitates the tracking of findings, recommendations, remediation activities, root cause analysis, accountability assignment, and reporting.



Compliance Policy Review

05

This module supports the review of internal policies, procedures, and product programs to ensure ongoing compliance and alignment with organizational requirements.



Regulatory and Internal Query Management

06

The module manages regulatory and internal queries through submission tracking, inspection tracking, follow-up tracking, and dissemination of circulars and regulations.



Committee Meeting Management

07

This module facilitates meeting planning, agenda management, meeting documentation, minutes management, and action tracking for compliance committees.



Key Compliance Indicators (KCIs)

08

The KCI module supports the definition and monitoring of Key Compliance Indicators and provides automated email notifications for threshold breaches.



Dashboard & Reports

09

Provides role-based dashboards, compliance metrics, heatmaps, and reports to support compliance oversight and informed decision-making.



Admin Center

10

The Admin Center provides centralized administration capabilities, including user role management, access permission management, and system configuration to support secure and controlled platform operations.

The Complete Platform for Compliance Excellence

Supporting compliance excellence through structured processes, enhanced monitoring, and actionable insights.

CORE DIFFERENTIATORS

Built on International Standards

ComplianceVare is designed in collaboration with compliance professionals and technology experts to address real-world compliance challenges, combining industry best practices with modern technology to deliver an efficient, transparent, and effective compliance management experience.



ComplianceVare Intelligence

Purpose-built AI that enables intelligent search and instant access to compliance knowledge, policies, regulatory requirements, and related information.

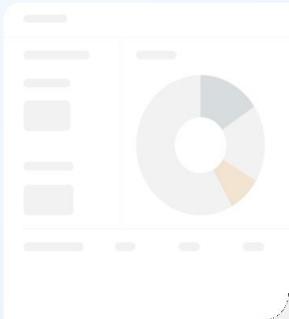


Industry - Leading Security

Advanced platform security measures have been integrated into ComplianceVare. These security measures encompass Single Sign-On (SSO), Multi-Factor Authentication (MFA), CAPTCHA, TLS protocols, AES encryption, password management, and session timeouts.

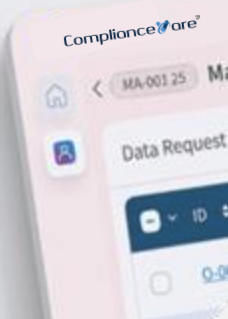
Third-Party Integrations Support

ComplianceVare allows integration with third-party applications, including Active Directory, ERP systems & others.



Dedicated Local Support Team

Reliable, SLA-backed local support from compliance and product specialists to ensure smooth operations and user success.



ComplianceVare Intelligence

Reduce Manual Administration, Smarter Regulatory Oversight

ComplianceVare AI reduces manual effort, strengthens regulatory alignment and obligation enforcement, and supports faster compliance decisions while complete audit trails keep you in control.

CORE AI FEATURES

AI Model Options

Keep full control of your data with a powerful AI engine, always within your security and compliance boundaries.

Context Aware Intelligence

Wherever you are in ComplianceVare AI is right there: summarizing, explaining, suggesting. Context-aware help that never breaks your flow.

Chat with ComplianceVare

Ask questions in plain language. Type a question like **“Which compliance tasks are overdue?”** and get instant answers without searching through multiple screens.

Policy Summary

Synthesizes together regulatory circulars, internal policies, third-party contracts, and checklist details into a clear summary in one click.

Obligation & Remediation Focus

Pulls together regulatory obligations, assessment findings, root cause analyses, and action items into a clear summary in one click.

7
Similar Risks
Found

Dashboard & Report Analysis

Fetch compliance data instantly to create clear visual heatmaps, dynamic KCI tracking metrics, and in-depth board-ready reporting.

Purpose-Built for Compliance Excellence

OPERATIONAL CAPABILITIES



Role-Based Access Controls

Role-based access controls, configurable permissions, and approval workflows to ensure secure compliance processes and adherence to regulatory requirements, internal policies, and compliance obligations



Automated Notifications & Alerts

Real-time notifications for compliance assessments, regulatory updates, policy reviews, compliance activities, due dates, and pending actions to ensure timely response and effective compliance oversight.



Configurable Approval Workflows

Configurable approval workflows with multi-level approvals and role-based routing for compliance assessments, policy reviews, regulatory submissions, compliance checklists, and compliance-related approval processes.



Branding Customization

Customize the system with your organization's logo, colors, and branding elements to match your corporate identity.



Executive Dashboards & Reporting

Executive dashboards and reports providing real-time insights into compliance performance and key oversight activities across the organization.



Controlled Collaboration

Enables secure collaboration through configurable workflows, role-based access controls, and approval processes, ensuring compliance accountability, transparency, and effective regulatory oversight.



Active Directory Integration

ComplianceVare supports Single Sign-On (SSO) through Microsoft Entra ID (Azure AD), enabling secure and seamless user authentication.



Enterprise Application Integration

Support integration with enterprise applications to enable seamless data exchange across systems.



Comprehensive Audit Trails

Maintain a history of user activities, changes, approvals, and actions with timestamps for transparency and accountability.



Mobile & Responsive Access

Access compliance obligations, assessments, policies, procedures, approvals, dashboards, and compliance activities securely from desktops, tablets, and mobile devices anytime, anywhere.

CORPORATE PROFILE

About TransVare Corporation

Federated GRC: Specialized at the edges, Unified at the core.

ARCHITECTS OF FEDERATED GRC

TransVare, derived from **Transformation**, **Visibility**, and **Software**, pioneered Federated GRC: a new architecture for governance, risk, and compliance that is specialized at the edges and unified at the core. This naming logic runs through every module, where each product is Visibility Software for the discipline it serves. ComplianceVare is Compliance Visibility Software, and the same pattern carries across the platform. TransVare is envisioned to federate every governance discipline into a single defensible view, serving enterprises, regulators, and governments across the globe.

Under Federated GRC, each GRC function retains its specialized depth, methodology, and ownership while operating on a shared foundation:

- **Shared data fabric** connecting every domain
- **Common taxonomy** across all disciplines
- **Unified intelligence layer** spanning the platform

Every domain is independently deployable, with its own owners, workflows, and KPIs, preserving the autonomy and expertise of each function.

The architecture extends beyond the core domains to encompass every domain within the space of a GRC Suite of Federated Solutions:

- **Enterprise Risk Management:** ERMVare
 - **Governance Management:** GovernanceVare
 - **Incident Management:** InciVare
 - **Compliance Management:** ComplianceVare
 - **Cyber Security Risk Management:** CyberVare
- And more...

Every domain federates upward into a unified governance plane, where risks, obligations, controls, incidents, and indicators connect across disciplines in real time.



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Date: _____

Client: _____

Demo Agenda

Observations & Notes

Follow-Up Actions

Implementation Partner In KSA

About ECOVIS AL SABTI

Exclusive Implementation Partner for TransVare Solutions in Saudi Arabia

ECOVIS Al Sabti is a Saudi Arabia-based professional consulting and audit firm specializing in Internal Audit, Risk Management, Governance, Compliance, BCM and Cybersecurity advisory services.

PARTNERSHIP OVERVIEW

ECOVIS Al Sabti serves as the exclusive local implementation and consulting partner for TransVare Corporation (USA), delivering configuration, onboarding, training, and domain advisory for the TransVare solutions. TransVare Corporation owns and manages the platform's licensing, updates, and product lifecycle, governed by the End User License Agreement (EULA).



Local Implementation

Expert-led implementation, system configuration, and deployment services tailored to your organization's processes, governance structure, and business requirements.



Training & Onboarding

Comprehensive user training and onboarding programs to accelerate adoption, maximize user proficiency, and ensure successful platform utilization.



Domain Advisory Services

Access to experienced domain specialists who provide guidance on industry best practices, framework alignment, process optimization, and solution adoption.



Dedicated Local Support

Reliable, SLA-backed local support from product and domain experts, ensuring smooth operations, timely assistance, and continuous platform success.

WE VALUE YOUR FEEDBACK

Your feedback helps us continuously improve our solutions. Reach out to our team for support, training, or to share your thoughts.



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