

South Africa Regulatory Hub

Country-specific obligations, regulatory sources and product workflow mappings.

ZAF Regulatory Hub

Region: Africa

Prudential resilience, market conduct and privacy accountability

South Africa's Twin Peaks model combines Prudential Authority safety and soundness with FSCA market-conduct supervision, exchange governance and POPIA. Regulated organisations need connected risk appetite, control assurance, operational resilience, incident response and board reporting.

ZAF

Africa governance, risk and compliance intelligence

PA

Prudential Authority

Industries Regulated

Banking and lending, Insurance

FSCA

Financial Sector Conduct Authority

Industries Regulated

Regulated financial services

JSE

Johannesburg Stock Exchange

Industries Regulated

Capital markets and listed companies

IR

Information Regulator

Industries Regulated

Cross-sector data controllers and processors

Supervisory Priorities

What Regulated Organisations Need to Operationalise.

The applicable perimeter depends on entity type, licence and sector. These priorities provide a practical starting point for programme design and evidence management.

01

Prudential Risk Governance

02

Operational Resilience

03

Market-Conduct Controls

04

POPIA Security Compromises

Regulatory Alignment

South Africa Regulation and Product Map.

Each row translates an official regulatory source into an operational GRC focus, then identifies only the platforms with a defensible workflow or evidence role.

Research Review

Official-source and product-fit review completed 1 September 2026.

Primary Fit

The platform directly manages a central process or evidence set described in the requirement.

Supporting Fit

The platform contributes linked evidence, oversight or follow-up but is not the main system for the requirement.

Not Shown

A weak or unsubstantiated product relationship is intentionally omitted.

South Africa Regulation And Product Map

Banks Act Prudential and Governance Framework PA

Applies To: Banks, banking groups and other supervised institutions within scope

Operational Focus: Board risk governance, internal controls, capital and operational risk, supervisory reporting, assurance and corrective measures.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.resbank.co.za/en/home/what-we-do/Prudentialregulation>

Prudential Standards and Supervisory Framework PA

Applies To: Banks, insurers and financial conglomerates within the relevant standards

Operational Focus: Governance, risk appetite, control functions, outsourcing, resilience, recovery planning and supervisory evidence.

Platform Fit and Workflow Support: Primary fit Third-party risks, controls, assessments, treatments, approvals, KRIs and oversight reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://www.resbank.co.za/en/home/what-we-do/Prudentialregulation/functions-of-the-prudential-authority>

FSCA Regulatory Framework FSCA

Applies To: Financial institutions and market participants within FSCA scope

Operational Focus: Conduct governance, customer-risk controls, compliance monitoring, incidents, complaints, reporting and remediation.

Platform Fit and Workflow Support: Primary fit Incident reporting, triage, investigation, root cause, corrective action, escalation and closure. Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://www.fsca.co.za/Regulatory%20Frameworks/Pages/default.aspx>

JSE Listings Requirements and Governance JSE

Applies To: Companies listed on the Johannesburg Stock Exchange

Operational Focus: Board and audit-committee governance, risk oversight, internal controls, disclosure and assurance.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.jse.co.za/regulation/issuer-regulation>

Protection of Personal Information Act and Security Compromise Guidance IR

Applies To: Responsible parties and operators processing personal information

Operational Focus: Security safeguards, compromise assessment, regulator and data-subject notification, response evidence and corrective action.

Platform Fit and Workflow Support: Primary fit Incident reporting, triage, investigation, root cause, corrective action, escalation and closure. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://info regulator.org.za/2025/08/19/fact-sheet-handling-of-security-compromises/>

This mapping is an implementation aid, not legal advice. It describes configurable workflow and evidence support, not automatic compliance. Always validate applicability, current versions, implementation dates and supervisory expectations with qualified advisers and the relevant authority.

Product Mapping Grounded in the 2026 Solution Briefs.

The mapping uses the capabilities documented in the current brochures. It does not extend the platforms beyond their stated functional scope.

Risk and Control Intelligence

Best suited to enterprise risk ownership, risk and control registers, assessments, appetite and tolerances, KRIs, treatments, approvals, dashboards and board reporting.

Central risk and control register

KRI threshold alerts

Treatment action tracking

AI-assisted drafting and classification

Independent Assurance

Best suited to the audit universe, risk-based planning, engagement execution, RCM-linked design and effectiveness testing, evidence, findings, approvals and follow-up.

Risk-based audit planning

ToD and ToE test procedures

Working papers and evidence

GIAS 2024 observation drafting

Incident Response and Closure

Best suited to incident intake, triage, investigation, root-cause analysis, escalation, notifications, corrective and preventive actions, closure and lessons learned.

Central incident register

Investigation and root cause

Escalation and notifications

Corrective-action tracking

Scope boundary

The platforms support governance workflows, accountability, evidence and reporting. They do not calculate regulatory capital or liquidity, replace technical cybersecurity monitoring, provide legal interpretation, issue external-audit opinions or automatically file statutory notifications unless an approved integration and process are configured.

Connected Operating Model

From Obligation to Board-Ready Evidence.

Use one traceable workflow to translate requirements, manage execution and demonstrate oversight.

01

Catalogue Obligations

Structure local requirements by entity, licence, authority and accountable owner.

02

Connect Operational Evidence

Link obligations to risks, controls, incidents, tests, findings and remediation.

03

Monitor and Assure

Track KRIs, control status, audit coverage, incidents and action closure continuously.

Risk intelligence

Independent assurance

Incident response

TransVare Africa | South Africa

Build a Connected GRC Operating Model for South Africa.

See how TransVare can support local regulatory readiness while preserving regional visibility and board oversight.