

Vietnam Regulatory Hub

Country-specific obligations, regulatory sources and product workflow mappings.

VNM Regulatory Hub

Region: APAC

Connected banking controls, market governance and cyber incident evidence

Vietnam's regulatory environment brings together State Bank internal-control requirements, securities governance, cybersecurity duties and personal-data protection. Organisations need an accountable model for risk assessment, control testing, audit, incident escalation and corrective action.

VNM

APAC governance, risk and compliance intelligence

SBV

State Bank of Vietnam

Industries Regulated

Banking and lending

SSC

State Securities Commission

Industries Regulated

Capital markets and listed companies

MPS

Ministry of Public Security

Industries Regulated

Capital markets and listed companies, Cross-sector data controllers and processors, Public and private organisations

A05

Department of Cybersecurity and High-Tech Crime Prevention

Industries Regulated

Capital markets and listed companies, Government and critical infrastructure

Supervisory Priorities

What Regulated Organisations Need to Operationalise.

The applicable perimeter depends on entity type, licence and sector. These priorities provide a practical starting point for programme design and evidence management.

01

Bank Internal Controls

02

Risk-Based Internal Audit

03

Listed-Company Governance

04

Cyber and Data Incidents

Regulatory Alignment

Vietnam Regulation and Product Map

Each row translates an official regulatory source into an operational GRC focus, then identifies only the platforms with a defensible workflow or evidence role.

Research Review

Official-source and product-fit review completed 1 September 2026.

Primary Fit

The platform directly manages a central process or evidence set described in the requirement.

Supporting Fit

The platform contributes linked evidence, oversight or follow-up but is not the main system for the requirement.

Not Shown

A weak or unsubstantiated product relationship is intentionally omitted.

Vietnam Regulation And Product Map

Circular 13/2018 on Internal Control Systems of Commercial Banks SBV

Applies To: Commercial banks and foreign bank branches within scope

Operational Focus: Risk governance, internal controls, compliance, independent internal audit, findings and board reporting.

Platform Fit and Workflow Support: Primary fit Audit universe, risk-based planning, RCM-linked testing, working papers, evidence, findings and follow-up. Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://www.sbv.gov.vn/>

Circular 116/2020 on Corporate Governance for Public Companies SSC

Applies To: Public companies within the securities-law perimeter

Operational Focus: Board and committee governance, internal controls, audit, conflicts, disclosure and accountable follow-up.

Platform Fit and Workflow Support: Supporting fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

https://ssc.gov.vn/cs/idcplg?IdcService=GET_FILE&allowInterrupt=1&dDocName=APPSSCGOVVN1620129465&dID=130173&filename=CIRCULAR+116+%281%29.pdf

Circular 121/2020 on Securities Company Operations SSC

Applies To: Securities companies operating in Vietnam

Operational Focus: Risk management, control systems, internal audit, operational governance, records and supervisory reporting.

Platform Fit and Workflow Support: Primary fit Audit universe, risk-based planning, RCM-linked testing, working papers, evidence, findings and follow-up. Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

https://ssc.gov.vn/cs/idcplg?IdcService=GET_FILE&allowInterrupt=1&dDocName=APPSSCGOVVN1620129768&dID=130638&filename=121_2020_TT-BTC_ENG.pdf

Law on Cybersecurity MPS

Applies To: Domestic and foreign organisations within the Law's scope

Operational Focus: Cyber controls, system protection, incident coordination, record keeping and regulator-facing response.

Platform Fit and Workflow Support: Primary fit Cyber-event intake, escalation, investigation, root cause, corrective action, recovery tracking and audit trail. Supporting fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Boundary GRC workflow and evidence support only. The platforms do not replace technical security monitoring, detection or protection tools.

Source: Source

<https://vanban.chinhphu.vn/>

Decree 13/2023 on Personal Data Protection MPS

Applies To: Personal-data controllers, processors and relevant third parties

Operational Focus: Data governance, impact assessments, breach response, regulatory notifications and processor oversight.

Platform Fit and Workflow Support: Primary fit Incident intake, assessment, investigation, notification workflow, corrective action, escalation and closure evidence. Supporting fit Privacy risks, controls, assessments, treatment actions, ownership, approvals and management reporting. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://vanban.chinhphu.vn/>

This mapping is an implementation aid, not legal advice. It describes configurable workflow and evidence support, not automatic compliance. Always validate applicability, current versions, implementation dates and supervisory expectations with qualified advisers and the relevant authority.

Product Mapping Grounded in the 2026 Solution Briefs.

The mapping uses the capabilities documented in the current brochures. It does not extend the platforms beyond their stated functional scope.

Risk and Control Intelligence

Best suited to enterprise risk ownership, risk and control registers, assessments, appetite and tolerances, KRIs, treatments, approvals, dashboards and board reporting.

Central risk and control register

KRI threshold alerts

Treatment action tracking

AI-assisted drafting and classification

Independent Assurance

Best suited to the audit universe, risk-based planning, engagement execution, RCM-linked design and effectiveness testing, evidence, findings, approvals and follow-up.

Risk-based audit planning

ToD and ToE test procedures

Working papers and evidence

GIAS 2024 observation drafting

Incident Response and Closure

Best suited to incident intake, triage, investigation, root-cause analysis, escalation, notifications, corrective and preventive actions, closure and lessons learned.

Central incident register

Investigation and root cause

Escalation and notifications

Corrective-action tracking

Scope boundary

The platforms support governance workflows, accountability, evidence and reporting. They do not calculate regulatory capital or liquidity, replace technical cybersecurity monitoring, provide legal interpretation, issue external-audit opinions or automatically file statutory notifications unless an approved integration and process are configured.

Connected Operating Model

From Obligation to Board-Ready Evidence.

Use one traceable workflow to translate requirements, manage execution and demonstrate oversight.

01

Catalogue Obligations

Structure local requirements by entity, licence, authority and accountable owner.

02

Connect Operational Evidence

Link obligations to risks, controls, incidents, tests, findings and remediation.

03

Monitor and Assure

Track KRIs, control status, audit coverage, incidents and action closure continuously.

Risk intelligence

Independent assurance

Incident response

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Build a Connected GRC Operating Model for Vietnam

See how TransVare can support local regulatory readiness while preserving regional visibility and board oversight.