

Thailand Regulatory Hub

Country-specific obligations, regulatory sources and product workflow mappings.

THA Regulatory Hub

Region: APAC

Risk, cyber and privacy workflows for Thai regulated organisations

Thailand's framework combines Bank of Thailand prudential supervision, SEC corporate governance, national cybersecurity duties and the Personal Data Protection Act. Enterprises need coordinated ownership for risks, controls, assurance, incidents and regulatory communications.

THA

APAC governance, risk and compliance intelligence

BOT

Bank of Thailand

Industries Regulated

Banking and lending, Regulated financial services

SEC

Securities and Exchange Commission Thailand

Industries Regulated

Capital markets and listed companies, Cross-sector data controllers and processors

NCSA

National Cyber Security Agency

Industries Regulated

Capital markets and listed companies, Government and critical infrastructure, Public and private organisations

PDPC

Personal Data Protection Committee

Industries Regulated

Capital markets and listed companies, Cross-sector data controllers and processors

Supervisory Priorities

What Regulated Organisations Need to Operationalise.

The applicable perimeter depends on entity type, licence and sector. These priorities provide a practical starting point for programme design and evidence management.

01

Prudential Risk Governance

02

Corporate Governance

03

Cyber Resilience

04

Personal-Data Breach Response

Regulatory Alignment

Thailand Regulation and Product Map.

Each row translates an official regulatory source into an operational GRC focus, then identifies only the platforms with a defensible workflow or evidence role.

Research Review

Official-source and product-fit review completed 1 September 2026.

Primary Fit

The platform directly manages a central process or evidence set described in the requirement.

Supporting Fit

The platform contributes linked evidence, oversight or follow-up but is not the main system for the requirement.

Not Shown

A weak or unsubstantiated product relationship is intentionally omitted.

Thailand Regulation And Product Map

Financial Institution Supervision Framework BOT

Applies To: Banks and financial institutions supervised by BOT

Operational Focus: Board governance, risk appetite, internal controls, operational risk, technology resilience and supervisory remediation.

Platform Fit and Workflow Support: Primary fit Operational-risk registers, critical-service assessments, controls, KRIs, treatments and leadership reporting. Supporting fit Risk-based assurance, control testing, evidence, findings, approvals and remediation follow-up. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://www.bot.or.th/en/our-roles/financial-institutions/supervision.html>

Corporate Governance Code SEC

Applies To: Thai listed companies and boards applying the code

Operational Focus: Board duties, risk governance, internal controls, audit oversight, disclosure and long-term accountability.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.sec.or.th/cgthailand/EN/Pages/Overview/CGCode.aspx>

Cybersecurity Act B.E. 2562 NCSA

Applies To: Critical information infrastructure organisations and other entities within scope

Operational Focus: Risk management, security measures, incident response, regulatory coordination, continuity and assessment.

Platform Fit and Workflow Support: Primary fit Cyber-event intake, escalation, investigation, root cause, corrective action, recovery tracking and audit trail. Primary fit Operational-risk registers, critical-service assessments, controls, KRIs, treatments and leadership reporting. Boundary GRC workflow and evidence support only. The platforms do not replace technical security monitoring, detection or protection tools.

Source: Source

<https://www.ncsa.or.th/>

Personal Data Protection Act B.E. 2562 PDPC

Applies To: Data controllers and processors within scope

Operational Focus: Privacy governance, processing records, security controls, processor oversight, breach notification and remediation.

Platform Fit and Workflow Support: Primary fit Incident intake, assessment, investigation, notification workflow, corrective action, escalation and closure evidence. Supporting fit Privacy risks, controls, assessments, treatment actions, ownership, approvals and management reporting. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.pdpc.or.th/>

Regulatory Coordination on Personal Data Protection SEC and PDPC

Applies To: Capital-market operators handling personal data

Operational Focus: Coordinated privacy governance, sector supervision, issue escalation and practical alignment with the PDPA.

Platform Fit and Workflow Support: Primary fit Incident intake, assessment, investigation, notification workflow, corrective action, escalation and closure evidence. Supporting fit Privacy risks, controls, assessments, treatment actions, ownership, approvals and management reporting. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

https://www.sec.or.th/EN/Pages/News_Detail.aspx?SECID=9412

This mapping is an implementation aid, not legal advice. It describes configurable workflow and evidence support, not automatic compliance. Always validate applicability, current versions, implementation dates and supervisory expectations with qualified advisers and the relevant authority.

Product Mapping Grounded in the 2026 Solution Briefs.

The mapping uses the capabilities documented in the current brochures. It does not extend the platforms beyond their stated functional scope.

Risk and Control Intelligence

Best suited to enterprise risk ownership, risk and control registers, assessments, appetite and tolerances, KRIs, treatments, approvals, dashboards and board reporting.

Central risk and control register

KRI threshold alerts

Treatment action tracking

AI-assisted drafting and classification

Independent Assurance

Best suited to the audit universe, risk-based planning, engagement execution, RCM-linked design and effectiveness testing, evidence, findings, approvals and follow-up.

Risk-based audit planning

ToD and ToE test procedures

Working papers and evidence

GIAS 2024 observation drafting

Incident Response and Closure

Best suited to incident intake, triage, investigation, root-cause analysis, escalation, notifications, corrective and preventive actions, closure and lessons learned.

Central incident register

Investigation and root cause

Escalation and notifications

Corrective-action tracking

Scope boundary

The platforms support governance workflows, accountability, evidence and reporting. They do not calculate regulatory capital or liquidity, replace technical cybersecurity monitoring, provide legal interpretation, issue external-audit opinions or automatically file statutory notifications unless an approved integration and process are configured.

Connected Operating Model

From Obligation to Board-Ready Evidence.

Use one traceable workflow to translate requirements, manage execution and demonstrate oversight.

01

Catalogue Obligations

Structure local requirements by entity, licence, authority and accountable owner.

02

Connect Operational Evidence

Link obligations to risks, controls, incidents, tests, findings and remediation.

03

Monitor and Assure

Track KRIs, control status, audit coverage, incidents and action closure continuously.

Risk intelligence

Independent assurance

Incident response

TransVare APAC | Thailand

Build a Connected GRC Operating Model for Thailand.

See how TransVare can support local regulatory readiness while preserving regional visibility and board oversight.