

Kenya Regulatory Hub

Country-specific obligations, regulatory sources and product workflow mappings.

KEN Regulatory Hub

Region: Africa

Banking resilience, listed-company governance and privacy evidence

Kenya's framework combines Central Bank prudential and cyber guidance, CMA corporate-governance rules and the Data Protection Act. Regulated enterprises need a connected operating model for risk appetite, control testing, incident response, third-party risk and board reporting.

KEN

Africa governance, risk and compliance intelligence

CBK

Central Bank of Kenya

Industries Regulated

Banking and lending, Regulated financial services

CMA

Capital Markets Authority

Industries Regulated

Capital markets and listed companies

ODPC

Office of the Data Protection Commissioner

Industries Regulated

Cross-sector data controllers and processors

KE-CIRT

Kenya Computer Incident Response Team Coordination Centre

Industries Regulated

Kenya Computer Incident Response Team Coordination Centre

Supervisory Priorities

What Regulated Organisations Need to Operationalise.

The applicable perimeter depends on entity type, licence and sector. These priorities provide a practical starting point for programme design and evidence management.

01

Prudential Risk Management

02

Bank Cyber Resilience

03

Listed-Company Governance

04

Data Breach Notification

Regulatory Alignment

Kenya Regulation and Product Map.

Each row translates an official regulatory source into an operational GRC focus, then identifies only the platforms with a defensible workflow or evidence role.

Research Review

Official-source and product-fit review completed 1 September 2026.

Primary Fit

The platform directly manages a central process or evidence set described in the requirement.

Supporting Fit

The platform contributes linked evidence, oversight or follow-up but is not the main system for the requirement.

Not Shown

A weak or unsubstantiated product relationship is intentionally omitted.

Kenya Regulation And Product Map

Prudential Guidelines for Institutions Licensed under the Banking Act CBK

Applies To: Banks and financial institutions licensed under the Banking Act

Operational Focus: Corporate governance, risk management, internal controls, internal audit, outsourcing, continuity and supervisory reporting.

Platform Fit and Workflow Support: Primary fit Third-party risks, controls, assessments, treatments, approvals, KRIs and oversight reporting. Primary fit Audit universe, risk-based planning, RCM-linked testing, working papers, evidence, findings and follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.centralbank.go.ke/policy-procedures/legislation-and-guidelines/>

Risk Management Guidelines CBK

Applies To: Banking institutions supervised by CBK

Operational Focus: Board risk oversight, appetite, identification, measurement, controls, stress testing, monitoring and reporting.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://www.centralbank.go.ke/policy-procedures/legislation-and-guidelines/>

Guidance Note on Cybersecurity for the Banking Sector CBK

Applies To: Banks and other institutions within the guidance scope

Operational Focus: Cyber governance, threat and risk assessment, controls, incident response, regulatory reporting, recovery and audit.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Primary fit Cyber-event intake, escalation, investigation, root cause, corrective action, recovery tracking and audit trail. Boundary GRC workflow and evidence support only. The platforms do not replace technical security monitoring, detection or protection tools.

Source: Source

<https://www.centralbank.go.ke/policy-procedures/legislation-and-guidelines/>

Code of Corporate Governance Practices for Issuers CMA

Applies To: Issuers of securities to the public

Operational Focus: Board effectiveness, risk governance, internal controls, audit committees, disclosure and accountability.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Primary fit Audit universe, risk-based planning, RCM-linked testing, working papers, evidence, findings and follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.cma.or.ke/downloads/category/16-corporate-governance>

Data Protection Act 2019 ODPC

Applies To: Data controllers and processors within scope

Operational Focus: Data governance, impact assessment, security, processor oversight, breach notification and compliance evidence.

Platform Fit and Workflow Support: Primary fit Incident intake, assessment, investigation, notification workflow, corrective action, escalation and closure evidence. Supporting fit Privacy risks, controls, assessments, treatment actions, ownership, approvals and management reporting. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.odpc.go.ke/data-protection-act/>

This mapping is an implementation aid, not legal advice. It describes configurable workflow and evidence support, not automatic compliance. Always validate applicability, current versions, implementation dates and supervisory expectations with qualified advisers and the relevant authority.

Product Mapping Grounded in the 2026 Solution Briefs.

The mapping uses the capabilities documented in the current brochures. It does not extend the platforms beyond their stated functional scope.

Risk and Control Intelligence

Best suited to enterprise risk ownership, risk and control registers, assessments, appetite and tolerances, KRIs, treatments, approvals, dashboards and board reporting.

Central risk and control register

KRI threshold alerts

Treatment action tracking

AI-assisted drafting and classification

Independent Assurance

Best suited to the audit universe, risk-based planning, engagement execution, RCM-linked design and effectiveness testing, evidence, findings, approvals and follow-up.

Risk-based audit planning

ToD and ToE test procedures

Working papers and evidence

GIAS 2024 observation drafting

Incident Response and Closure

Best suited to incident intake, triage, investigation, root-cause analysis, escalation, notifications, corrective and preventive actions, closure and lessons learned.

Central incident register

Investigation and root cause

Escalation and notifications

Corrective-action tracking

Scope boundary

The platforms support governance workflows, accountability, evidence and reporting. They do not calculate regulatory capital or liquidity, replace technical cybersecurity monitoring, provide legal interpretation, issue external-audit opinions or automatically file statutory notifications unless an approved integration and process are configured.

Connected Operating Model

From Obligation to Board-Ready Evidence.

Use one traceable workflow to translate requirements, manage execution and demonstrate oversight.

01

Catalogue Obligations

Structure local requirements by entity, licence, authority and accountable owner.

02

Connect Operational Evidence

Link obligations to risks, controls, incidents, tests, findings and remediation.

03

Monitor and Assure

Track KRIs, control status, audit coverage, incidents and action closure continuously.

Risk intelligence

Independent assurance

Incident response

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Build a Connected GRC Operating Model for Kenya.

See how TransVare can support local regulatory readiness while preserving regional visibility and board oversight.