

Japan Regulatory Hub

Country-specific obligations, regulatory sources and product workflow mappings.

JPN Regulatory Hub

Region: APAC

Resilient governance across financial supervision and data protection

Japan's financial-sector framework emphasises sound governance, customer protection, cybersecurity, IT resilience and independent assurance. Organisations need a practical evidence trail from risk identification and control design through incident escalation, recovery and board reporting.

JPN

APAC governance, risk and compliance intelligence

JFSA

Japan Financial Services Agency

Industries Regulated

Banking and lending, Insurance, Regulated financial services

JPX

Japan Exchange Group

Industries Regulated

Capital markets and listed companies

PPC

Personal Information Protection Commission

Industries Regulated

Cross-sector data controllers and processors

NISC

National center of Incident readiness and Strategy for Cybersecurity

Industries Regulated

Capital markets and listed companies, Government and critical infrastructure

Supervisory Priorities

What Regulated Organisations Need to Operationalise.

The applicable perimeter depends on entity type, licence and sector. These priorities provide a practical starting point for programme design and evidence management.

01

Financial Risk Governance

02

Cybersecurity and IT Resilience

03

Independent Audit Evidence

04

Personal-Data Incident Response

Regulatory Alignment

Japan Regulation and Product Map.

Each row translates an official regulatory source into an operational GRC focus, then identifies only the platforms with a defensible workflow or evidence role.

Research Review

Official-source and product-fit review completed 1 September 2026.

Primary Fit

The platform directly manages a central process or evidence set described in the requirement.

Supporting Fit

The platform contributes linked evidence, oversight or follow-up but is not the main system for the requirement.

Not Shown

A weak or unsubstantiated product relationship is intentionally omitted.

Japan Regulation And Product Map

Supervisory Guidelines and Financial Inspection Framework JFSA

Applies To: Banks, insurers and financial businesses within the applicable supervisory perimeter

Operational Focus: Governance, enterprise risk management, internal controls, internal audit, outsourcing, incident reporting and supervisory remediation.

Platform Fit and Workflow Support: Primary fit Third-party risks, controls, assessments, treatments, approvals, KRIs and oversight reporting. Primary fit Audit universe, risk-based planning, RCM-linked testing, working papers, evidence, findings and follow-up. Primary fit Incident reporting, triage, investigation, root cause, corrective action, escalation and closure. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

https://www.fsa.go.jp/en/laws_regulations/index.html

Guidelines on Cybersecurity for the Financial Sector JFSA

Applies To: Financial institutions and other entities within the guideline's scope

Operational Focus: Cyber governance, risk assessment, third-party oversight, monitoring, incident escalation, recovery and assurance.

Platform Fit and Workflow Support: Primary fit Third-party risks, controls, assessments, treatments, approvals, KRIs and oversight reporting. Primary fit Cyber-event intake, escalation, investigation, root cause, corrective action, recovery tracking and audit trail. Boundary GRC workflow and evidence support only. The platforms do not replace technical security monitoring, detection or protection tools.

Source: Source

<https://www.fsa.go.jp/en/policy/cybersecurity/index.html>

IT Resilience Discussion Report JFSA

Applies To: Financial institutions managing important technology services

Operational Focus: Resilience of critical services, dependency mapping, testing, incident learning, recovery and senior-management oversight.

Platform Fit and Workflow Support: Primary fit Disruption reporting, escalation, investigation, corrective action, recovery tracking and closure evidence. Supporting fit Operational-risk registers, critical-service assessments, controls, KRIs, treatments and leadership reporting. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://www.fsa.go.jp/en/news/2026/20260730/20260730.html>

Corporate Governance Code JPX

Applies To: Companies listed on the Tokyo Stock Exchange

Operational Focus: Board effectiveness, risk oversight, audit and supervisory functions, internal controls and transparent accountability.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://www.jpx.co.jp/english/equities/listing/cg/>

Act on the Protection of Personal Information PPC

Applies To: Business operators handling personal information within scope

Operational Focus: Data governance, security measures, processor oversight, breach assessment, notifications and response records.

Platform Fit and Workflow Support: Primary fit Incident intake, assessment, investigation, notification workflow, corrective action, escalation and closure evidence. Supporting fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.ppc.go.jp/en/legal/>

This mapping is an implementation aid, not legal advice. It describes configurable workflow and evidence support, not automatic compliance. Always validate applicability, current versions, implementation dates and supervisory expectations with qualified advisers and the relevant authority.

Product Mapping Grounded in the 2026 Solution Briefs.

The mapping uses the capabilities documented in the current brochures. It does not extend the platforms beyond their stated functional scope.

Risk and Control Intelligence

Best suited to enterprise risk ownership, risk and control registers, assessments, appetite and tolerances, KRIs, treatments, approvals, dashboards and board reporting.

Central risk and control register

KRI threshold alerts

Treatment action tracking

AI-assisted drafting and classification

Independent Assurance

Best suited to the audit universe, risk-based planning, engagement execution, RCM-linked design and effectiveness testing, evidence, findings, approvals and follow-up.

Risk-based audit planning

ToD and ToE test procedures

Working papers and evidence

GIAS 2024 observation drafting

Incident Response and Closure

Best suited to incident intake, triage, investigation, root-cause analysis, escalation, notifications, corrective and preventive actions, closure and lessons learned.

Central incident register

Investigation and root cause

Escalation and notifications

Corrective-action tracking

Scope boundary

The platforms support governance workflows, accountability, evidence and reporting. They do not calculate regulatory capital or liquidity, replace technical cybersecurity monitoring, provide legal interpretation, issue external-audit opinions or automatically file statutory notifications unless an approved integration and process are configured.

Connected Operating Model

From Obligation to Board-Ready Evidence.

Use one traceable workflow to translate requirements, manage execution and demonstrate oversight.

01

Catalogue Obligations

Structure local requirements by entity, licence, authority and accountable owner.

02

Connect Operational Evidence

Link obligations to risks, controls, incidents, tests, findings and remediation.

03

Monitor and Assure

Track KRIs, control status, audit coverage, incidents and action closure continuously.

Risk intelligence

Independent assurance

Incident response

TransVare APAC | Japan

Build a Connected GRC Operating Model for Japan.

See how TransVare can support local regulatory readiness while preserving regional visibility and board oversight.