

Ethiopia Regulatory Hub

Country-specific obligations, regulatory sources and product workflow mappings.

ETH Regulatory Hub

Region: Africa

Modern bank governance, technology controls and personal-data protection

Ethiopia's regulatory framework combines National Bank risk and corporate-governance directives, a developing capital market and an in-force personal-data protection proclamation. Institutions need aligned risk ownership, technology controls, assurance and incident accountability.

ETH

Africa governance, risk and compliance intelligence

NBE

National Bank of Ethiopia

Industries Regulated

Banking and lending, Regulated financial services

ECMA

Ethiopian Capital Market Authority

Industries Regulated

Capital markets and listed companies

INSA

Information Network Security Administration

Industries Regulated

Capital markets and listed companies

Moj

Ministry of Justice

Industries Regulated

Cross-sector data controllers and processors, Public and private organisations

Supervisory Priorities

What Regulated Organisations Need to Operationalise.

The applicable perimeter depends on entity type, licence and sector. These priorities provide a practical starting point for programme design and evidence management.

01

Bank Corporate Governance

02

Enterprise Risk Management

03

IT Management and Resilience

04

Personal-Data Accountability

Regulatory Alignment

Ethiopia Regulation and Product Map

Each row translates an official regulatory source into an operational GRC focus, then identifies only the platforms with a defensible workflow or evidence role.

Research Review

Official-source and product-fit review completed 1 September 2026.

Primary Fit

The platform directly manages a central process or evidence set described in the requirement.

Supporting Fit

The platform contributes linked evidence, oversight or follow-up but is not the main system for the requirement.

Not Shown

A weak or unsubstantiated product relationship is intentionally omitted.

Ethiopia Regulation And Product Map

SBB/91/2024 Bank Corporate Governance Directive NBE

Applies To: Banks operating in Ethiopia

Operational Focus: Board and committee governance, balanced risk taking, internal controls, compliance, internal audit and accountable reporting.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Primary fit Audit universe, risk-based planning, RCM-linked testing, working papers, evidence, findings and follow-up. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://nbe.gov.et/files/sbb-91-2024-bank-corporate-governance/>

Banking Risk Management Guidelines NBE

Applies To: Banks and supervised financial institutions within scope

Operational Focus: Risk appetite, material-risk identification, measurement, limits, controls, monitoring, reporting and independent assurance.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Primary fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://nbe.gov.et/files/banking-risk-management-guidelines/>

SBB/83/2022 Requirements for IT Management of Banks NBE

Applies To: Banks operating in Ethiopia

Operational Focus: IT governance, risk controls, information security, outsourcing, continuity, incident response and audit.

Platform Fit and Workflow Support: Primary fit Third-party risks, controls, assessments, treatments, approvals, KRIs and oversight reporting. Primary fit Cyber-event intake, escalation, investigation, root cause, corrective action, recovery tracking and audit trail. Boundary GRC workflow and evidence support only. The platforms do not replace technical security monitoring, detection or protection tools.

Source: Source

<https://nbe.gov.et/files/sbb-83-2022-requirements-for-information-technology-it-management-of-banks/>

Capital Market Service Providers Licensing and Supervision Directive ECMA

Applies To: Licensed capital-market service providers

Operational Focus: Corporate governance, compliance officers, risk controls, records, supervisory reporting and consequences for non-compliance.

Platform Fit and Workflow Support: Primary fit Third-party risks, controls, assessments, treatments, approvals, KRIs and oversight reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://ecma.gov.et/cmstp/>

Personal Data Protection Proclamation No. 1321/2024 MoJ

Applies To: Public and private entities processing personal data within scope

Operational Focus: Data governance, processing accountability, security, rights, incident response and corrective measures.

Platform Fit and Workflow Support: Primary fit Incident intake, assessment, investigation, notification workflow, corrective action, escalation and closure evidence. Supporting fit Privacy risks, controls, assessments, treatment actions, ownership, approvals and management reporting. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://justice.gov.et/en/law/personal-data-protection-proclamation/>

This mapping is an implementation aid, not legal advice. It describes configurable workflow and evidence support, not automatic compliance. Always validate applicability, current versions, implementation dates and supervisory expectations with qualified advisers and the relevant authority.

Product Mapping Grounded in the 2026 Solution Briefs.

The mapping uses the capabilities documented in the current brochures. It does not extend the platforms beyond their stated functional scope.

Risk and Control Intelligence

Best suited to enterprise risk ownership, risk and control registers, assessments, appetite and tolerances, KRIs, treatments, approvals, dashboards and board reporting.

Central risk and control register

KRI threshold alerts

Treatment action tracking

AI-assisted drafting and classification

Independent Assurance

Best suited to the audit universe, risk-based planning, engagement execution, RCM-linked design and effectiveness testing, evidence, findings, approvals and follow-up.

Risk-based audit planning

ToD and ToE test procedures

Working papers and evidence

GIAS 2024 observation drafting

Incident Response and Closure

Best suited to incident intake, triage, investigation, root-cause analysis, escalation, notifications, corrective and preventive actions, closure and lessons learned.

Central incident register

Investigation and root cause

Escalation and notifications

Corrective-action tracking

Scope boundary

The platforms support governance workflows, accountability, evidence and reporting. They do not calculate regulatory capital or liquidity, replace technical cybersecurity monitoring, provide legal interpretation, issue external-audit opinions or automatically file statutory notifications unless an approved integration and process are configured.

Connected Operating Model

From Obligation to Board-Ready Evidence.

Use one traceable workflow to translate requirements, manage execution and demonstrate oversight.

01

Catalogue Obligations

Structure local requirements by entity, licence, authority and accountable owner.

02

Connect Operational Evidence

Link obligations to risks, controls, incidents, tests, findings and remediation.

03

Monitor and Assure

Track KRIs, control status, audit coverage, incidents and action closure continuously.

Risk intelligence

Independent assurance

Incident response

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Build a Connected GRC Operating Model for Ethiopia

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