

Spain Regulatory Hub

Country-specific obligations, regulatory sources and product workflow mappings.

ESP Regulatory Hub

Region: Europe

Connected prudential controls, digital resilience and breach governance

Spain combines Banco de España prudential supervision, CNMV governance expectations, European digital resilience and AEPD privacy enforcement. Institutions need aligned risk appetite, control testing, internal audit, incident escalation and board reporting.

ESP

Europe governance, risk and compliance intelligence

BdE

Banco de España

Industries Regulated

Banking and lending

CNMV

National Securities Market Commission

Industries Regulated

Capital markets and listed companies

AEPD

Spanish Data Protection Agency

Industries Regulated

Cross-sector data controllers and processors

INCIBE

National Cybersecurity Institute of Spain

Industries Regulated

Capital markets and listed companies, Government and critical infrastructure

Supervisory Priorities

What Regulated Organisations Need to Operationalise.

The applicable perimeter depends on entity type, licence and sector. These priorities provide a practical starting point for programme design and evidence management.

01

Prudential Governance and Controls

02

Corporate-Governance Oversight

03

ICT Operational Resilience

04

Third-Party Risk

05

Incident and Breach Notification

Regulatory Alignment

Spain Regulation and Product Map

Each row translates an official regulatory source into an operational GRC focus, then identifies only the platforms with a defensible workflow or evidence role.

Research Review

Official-source and product-fit review completed 1 September 2026.

Primary Fit

The platform directly manages a central process or evidence set described in the requirement.

Supporting Fit

The platform contributes linked evidence, oversight or follow-up but is not the main system for the requirement.

Not Shown

A weak or unsubstantiated product relationship is intentionally omitted.

Spain Regulation And Product Map

Law 10/2014 and Royal Decree 84/2015 on Credit Institutions Spanish Government and BdE

Applies To: Credit institutions operating within the Spanish prudential regime

Operational Focus: Governance, risk management, internal controls, remuneration, supervisory reporting, recovery and accountable management.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.bde.es/wbe/en/areas-actuacion/normativa/legislacion-nacional-traducida-al-ingles/>

Circular 2/2016 on Supervision and Solvency BdE

Applies To: Credit institutions within the circular's scope

Operational Focus: Risk governance, capital and liquidity controls, internal processes, reporting, supervisory review and remediation evidence.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Workflow, control and evidence support only. The platforms do not calculate regulatory capital, liquidity or prudential ratios.

Source: Source

<https://www.bde.es/wbe/en/areas-actuacion/politica-macroprudencial/regulacion-macroprudencial/normativa-espanola/>

Good Governance Code of Listed Companies CNMV

Applies To: Spanish listed companies on a comply-or-explain basis

Operational Focus: Board risk oversight, control functions, audit committees, internal audit, reporting and governance accountability.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Primary fit Audit universe, risk-based planning, RCM-linked testing, working papers, evidence, findings and follow-up. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://www.cnmv.es/portal/Publicaciones/CodigosGovCorp.aspx>

Digital Operational Resilience Act European Union and Spanish financial supervisors

Applies To: Financial entities and ICT third-party providers within DORA's scope

Operational Focus: ICT risk governance, major incident reporting, resilience testing, third-party controls, recovery and management-body oversight.

Platform Fit and Workflow Support: Primary fit Third-party risks, controls, assessments, treatments, approvals, KRIs and oversight reporting. Primary fit Disruption reporting, escalation, investigation, corrective action, recovery tracking and closure evidence. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://eur-lex.europa.eu/eli/reg/2022/2554/oj/eng>

GDPR Personal Data Breach Notification AEPD

Applies To: Controllers and processors handling personal data within the GDPR's scope

Operational Focus: Incident documentation, risk assessment, 72-hour notification where required, affected-person communication and corrective actions.

Platform Fit and Workflow Support: Primary fit Incident intake, assessment, investigation, notification workflow, corrective action, escalation and closure evidence. Supporting fit Privacy risks, controls, assessments, treatment actions, ownership, approvals and management reporting. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.aepd.es/en/rights-and-duties/fulfill-your-duties/measures-compliance/notification-of-personal-data-breach-to-the-supervisory-authority>

This mapping is an implementation aid, not legal advice. It describes configurable workflow and evidence support, not automatic compliance. Always validate applicability, current versions, implementation dates and supervisory expectations with qualified advisers and the relevant authority.

Product Mapping Grounded in the 2026 Solution Briefs.

The mapping uses the capabilities documented in the current brochures. It does not extend the platforms beyond their stated functional scope.

Risk and Control Intelligence

Best suited to enterprise risk ownership, risk and control registers, assessments, appetite and tolerances, KRIs, treatments, approvals, dashboards and board reporting.

Central risk and control register

KRI threshold alerts

Treatment action tracking

AI-assisted drafting and classification

Independent Assurance

Best suited to the audit universe, risk-based planning, engagement execution, RCM-linked design and effectiveness testing, evidence, findings, approvals and follow-up.

Risk-based audit planning

ToD and ToE test procedures

Working papers and evidence

GIAS 2024 observation drafting

Incident Response and Closure

Best suited to incident intake, triage, investigation, root-cause analysis, escalation, notifications, corrective and preventive actions, closure and lessons learned.

Central incident register

Investigation and root cause

Escalation and notifications

Corrective-action tracking

Scope boundary

The platforms support governance workflows, accountability, evidence and reporting. They do not calculate regulatory capital or liquidity, replace technical cybersecurity monitoring, provide legal interpretation, issue external-audit opinions or automatically file statutory notifications unless an approved integration and process are configured.

Connected Operating Model

From Obligation to Board-Ready Evidence.

Use one traceable workflow to translate requirements, manage execution and demonstrate oversight.

01

Catalogue Obligations

Structure local requirements by entity, licence, authority and accountable owner.

02

Connect Operational Evidence

Link obligations to risks, controls, incidents, tests, findings and remediation.

03

Monitor and Assure

Track KRIs, control status, audit coverage, incidents and action closure continuously.

Risk intelligence

Independent assurance

Incident response

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Build a Connected GRC Operating Model for Spain

See how TransVare can support local regulatory readiness while preserving regional visibility and board oversight.