

China Regulatory Hub

Country-specific obligations, regulatory sources and product workflow mappings.

CHN Regulatory Hub

Region: APAC

Connected controls for banking, securities, cybersecurity and personal data

China's framework combines central-bank and financial-supervision requirements with securities governance, national cybersecurity duties and personal-information protection. Enterprises need documented risk tolerances, internal controls, independent assurance, incident response and accountable remediation across this perimeter.

CHN

APAC governance, risk and compliance intelligence

PBOC

People's Bank of China

Industries Regulated

Banking and lending

NFRA

National Financial Regulatory Administration

Industries Regulated

Banking and lending, Insurance

CSRC

China Securities Regulatory Commission

Industries Regulated

Capital markets and listed companies

CAC

Cyberspace Administration of China

Industries Regulated

Government and critical infrastructure

Supervisory Priorities

What Regulated Organisations Need to Operationalise.

The applicable perimeter depends on entity type, licence and sector. These priorities provide a practical starting point for programme design and evidence management.

01

Risk Tolerance and Board Oversight

02

Internal-Control Evaluation

03

Cyber Incident Response

04

Personal-Information Governance

Regulatory Alignment

China Regulation and Product Map.

Each row translates an official regulatory source into an operational GRC focus, then identifies only the platforms with a defensible workflow or evidence role.

Research Review

Official-source and product-fit review completed 1 September 2026.

Primary Fit

The platform directly manages a central process or evidence set described in the requirement.

Supporting Fit

The platform contributes linked evidence, oversight or follow-up but is not the main system for the requirement.

Not Shown

A weak or unsubstantiated product relationship is intentionally omitted.

China Regulation And Product Map

Guidelines for Internal Control of Commercial Banks PBOC and banking supervisor

Applies To: Commercial banks operating in China

Operational Focus: Board-approved risk tolerance, control responsibilities, internal-control evaluation, internal audit, emergency arrangements and tracked correction of deficiencies.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Primary fit Audit universe, risk-based planning, RCM-linked testing, working papers, evidence, findings and follow-up. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

<https://www.pbc.gov.cn/en/3688110/3688172/4048359/2025080817550976372/index.html>

Commercial Bank Law and Banking Supervision Framework PBOC and NFRA

Applies To: Commercial banks and supervised banking institutions

Operational Focus: Prudent operations, governance, risk controls, supervisory reporting, examination evidence and corrective measures.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.pbc.gov.cn/en/3688253/index.html>

Guidelines for Governance of Listed Companies CSRC

Applies To: Companies listed on Chinese securities markets

Operational Focus: Board and committee accountability, internal controls, risk oversight, disclosure, audit supervision and follow-up of governance issues.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

http://www.csrc.gov.cn/csrc_en/c102030/common_list.shtml

Cybersecurity Law CAC

Applies To: Network operators and critical information infrastructure operators within scope

Operational Focus: Security governance, risk controls, monitoring, incident plans, response, reporting and supply-chain oversight.

Platform Fit and Workflow Support: Primary fit Risk and control registers, assessments, appetite or limits, KRIs, treatments, approvals and board reporting. Primary fit Cyber-event intake, escalation, investigation, root cause, corrective action, recovery tracking and audit trail. Boundary GRC workflow and evidence support only. The platforms do not replace technical security monitoring, detection or protection tools.

Source: Source

<https://www.cac.gov.cn/>

Rules on Data Security of Banking and Insurance Institutions NFRA

Applies To: Banking and insurance institutions within the Rules' scope

Operational Focus: Data-security governance, classification, risk assessment, access controls, third-party data risk, security incidents, audit and supervisory reporting.

Platform Fit and Workflow Support: Primary fit Third-party risks, controls, assessments, treatments, approvals, KRIs and oversight reporting. Primary fit Incident reporting, triage, investigation, root cause, corrective action, escalation and closure. Boundary Notification workflow and evidence can be configured. Submission to an authority requires an approved process or integration.

Source: Source

<https://www.nfra.gov.cn/en/view/pages/ItemDetail.html?docId=1197384>

Personal Information Protection Law CAC

Applies To: Personal-information handlers within the Law's scope

Operational Focus: Processing records, impact assessment, security controls, processor governance, breach response and corrective action.

Platform Fit and Workflow Support: Primary fit Incident intake, assessment, investigation, notification workflow, corrective action, escalation and closure evidence. Supporting fit Risk-based assurance, control design and effectiveness testing, evidence, findings and action follow-up. Boundary Workflow and evidence support only. Applicability and legal interpretation remain with the organisation and its advisers.

Source: Source

https://www.gov.cn/xinwen/2021-08/20/content_5632486.htm

This mapping is an implementation aid, not legal advice. It describes configurable workflow and evidence support, not automatic compliance. Always validate applicability, current versions, implementation dates and supervisory expectations with qualified advisers and the relevant authority.

Product Mapping Grounded in the 2026 Solution Briefs.

The mapping uses the capabilities documented in the current brochures. It does not extend the platforms beyond their stated functional scope.

Risk and Control Intelligence

Best suited to enterprise risk ownership, risk and control registers, assessments, appetite and tolerances, KRIs, treatments, approvals, dashboards and board reporting.

Central risk and control register

KRI threshold alerts

Treatment action tracking

AI-assisted drafting and classification

Independent Assurance

Best suited to the audit universe, risk-based planning, engagement execution, RCM-linked design and effectiveness testing, evidence, findings, approvals and follow-up.

Risk-based audit planning

ToD and ToE test procedures

Working papers and evidence

GIAS 2024 observation drafting

Incident Response and Closure

Best suited to incident intake, triage, investigation, root-cause analysis, escalation, notifications, corrective and preventive actions, closure and lessons learned.

Central incident register

Investigation and root cause

Escalation and notifications

Corrective-action tracking

Scope boundary

The platforms support governance workflows, accountability, evidence and reporting. They do not calculate regulatory capital or liquidity, replace technical cybersecurity monitoring, provide legal interpretation, issue external-audit opinions or automatically file statutory notifications unless an approved integration and process are configured.

Connected Operating Model

From Obligation to Board-Ready Evidence.

Use one traceable workflow to translate requirements, manage execution and demonstrate oversight.

01

Catalogue Obligations

Structure local requirements by entity, licence, authority and accountable owner.

02

Connect Operational Evidence

Link obligations to risks, controls, incidents, tests, findings and remediation.

03

Monitor and Assure

Track KRIs, control status, audit coverage, incidents and action closure continuously.

Risk intelligence

Independent assurance

Incident response

TransVare APAC | China

Build a Connected GRC Operating Model for China.

See how TransVare can support local regulatory readiness while preserving regional visibility and board oversight.